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5 Instrumental Contingency Change

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Abstract—The striatal cholinergic system is key in detecting changes in instrumental contingencies. While recent evidence supports this vision, cell type-specific online control on the activity of the cholinergic striatal neurons is necessary to empirically test it. In this study, we performed optogenetic manipulations of the activity of striatal cholinergic interneurons (CINs) to evaluate their contribution to the updating of a previously learned instrumental contingency. By modulating the activity of CINs, we identified that the inhibition of CINs impairs the update of actions to a contingency change. Remarkably, a manipulation that perturbs the activity of CINs, rather than inhibiting them also impaired the encoding of the change in contingency. These results emphasize that beyond an increase in the activity of CINs, the intact activity of these cells is required for the identification of an instrumental contingency change.

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Key words: striatal cholinergic interneurons, optogenetic manipulations, contingency degradation, behavioral flexibility.

### INTRODUCTION

The identification of changes in contingencies allows 11 animals to update memories and adapt their behavior. 12 The prefrontal cortex sub-circuits innervating the 13 striatum, mainly the dorsomedial striatum (DMS), have 14 been shown to be critical for the update of behavior to 15 changes in instrumental contingencies (Balleine and 16 Dickinson, 1998; Yin et al., 2005). Later on during the 17 investigation of the cellular mechanisms behind the contri-18 bution of the DMS to the detection of changes in contin-19 gencies, several studies have documented that the 20 21 activity of putative striatal cholinergic interneurons (CINs) 22 correlate or is necessary for subjects to identify contin-23 gency modifications between an action and its outcome (Aosaki et al., 1994; Atallah et al., 2014; Aoki et al., 24 2015; Bradfield et al., 2013; Matamales et al., 2016; 25 Okada et al., 2014; Ragozzino, 2003). Specifically, the 26 first study that recorded the activity of putative striatal 27

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Abbreviations: DMS, dorsomedial striatum; ChAT, choline acetyltransferase; Cre, enzyme cre-recombinase; CINs, cholinergic interneurons; ROC, receiver operating characteristic curve; CDF, cumulative distribution function; ISI, interspike interval; A1 $\rightarrow$ , O1, Action 1 to Outcome 1; A2 $\rightarrow$ , O2, Action 2 to Outcome 2; RR, random ratio.

CINs (tonically active neurons; TANs) in primates, docu-28 mented that during the acquisition of sensory associations 29 these TANs become responsive to a stimuli paired with a 30 reinforcer that induced the conditioned behavior, showing 31 a pattern of depolarization-pause-depolarization spiking 32 lasting  $\approx$ 300–400 ms, (Aosaki et al., 1994). Three years 33 later another study recording TANs in monkeys sug-34 gested that both, the stimuli predicting the reinforcer, 35 and the reinforce itself, could evoke a pause in the spiking 36 of TANs of around  $\approx$ 300 ms (Apicella et al., 1997). A high 37 temporal modulation of putative CIN's, during the update 38 of contingencies, has been also observed in rodents, sug-39 gesting that CINs present an increase in spiking immedi-40 ately after receiving an uncertain reinforcer (Atallah et al., 41 2014). In parallel to the fast temporal electrophysiological 42 recordings of putative CINs in primates and rodents, a 43 fast increase and return to baseline levels in acetylcholine 44 (Ach) in the DMS of rats during the improvement of rever-45 sal learning of a spatial task was documented (measuring 46 Ach in six blocks, 6 min interval, measured by microdialy-47 sis), observing that the maximum peak of Ach was not on 48 reaching the best performance but on the blocks where 49 animals seem to present a shift in strategy (Ragozzino, 50 2003), suggesting that the striatum, and in particular the 51 CINs, were required for behavioral flexibility. Afterward, 52 lesion and pharmacological studies strongly implicated a 53 thalamo-DMS circuit specifically modulating the activity 54 of CINs for the proper performance of behavioral flexibility 55

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in spatial tasks (Brown et al., 2010) or during the change 56 of contingencies in instrumental learning (Bradfield et al., 57 2013). However, and despite the strong implication of stri-58 atal CINs in mediating behavioral flexibility, only when 59 transgenic mice that specifically labeled cholinergic cells 60 became available (ChAT-Cre mice; Gong et al., 2007) 61 could the CINs be specifically ablated to evaluate this 62 63 possibility. The first study performing ablations of striatal CINs reported that their absence caused enhanced flexi-64 bility in a spatial discrimination task (Okada et al., 2014). 65 Of particular interest for this study, a second study docu-66 mented that CINs in the DMS determine the strategy set 67 68 shifting (Aoki et al., 2015), suggesting that they may be 69 required to detect changes in instrumental contingencies (Matamales et al., 2016). In conclusion, based on the cur-70 rent literature there is a wide set of studies providing sup-71 port to the hypothesis that the activity of CINs is required 72 for the identification of changes in instrumental contingen-73 cies. However, an important deficiency of all these studies 74 is that no study has specifically manipulated the activity of 75 CINs during the updating of instrumental contingencies to 76 test the feasibility of this hypothesis. Therefore, to evalu-77 78 ate the contribution of the activity of CINs to changes in 79 instrumental contingencies, we performed optogenetic 80 manipulations, which allow temporal, spatial and cell type specific perturbations of activity, during a behavioral task 81 82 previously described to evaluate the abilities of mice to 83 update the identification of a change in an instrumental contingency (Balleine and Dickinson, 1998; Bradfield 84 et al., 2013; Matamales et al., 2016; Yin et al., 2005). A 85 temporal inhibition of CINs around the performance of 86 the action was tested. Remarkably, we observed that 87 optogenetic inhibition, or a perturbation of the activity of 88 DMS CINs that does not decrease the overall firing of 89 CINs, impaired the identification of the change in the 90 instrumental contingency, suggesting that beyond an 91 increase in their activity, the intact activity of these cells 92 93 is required for the update of actions to instrumental contingency changes. 94

### EXPERIMENTAL PROCEDURES

### 96 Animals

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All use of animals was approved by the Institutional 97 Committee for the Care and Use of Laboratory Animals 98 of Instituto de Fisiología Celular, Universidad Nacional 99 Autónoma de México (Protocol number FTA121-17) and 100 the National Norm for the use of Animals (NOM-062-101 ZOO-1999). C57BL/6J wild type mice between 2 and 102 103 4 months old were used to standardize the behavioral 104 task, and heterozygous ChAT-Cre mice of the same age (male and female; B6;129S6-Chat<sup>tm2(cre)Lowl</sup>/J) 105 (Jackson labs # 006410) (Gong et al., 2007) were used 106 107 for optogenetic manipulation experiments. Additionally, a ChAT-Cre:Ai35 (Madisen et al., 2012) crossbred mouse 108 was used to verify the specificity of the ChAT-Cre line. 109 All animals were housed under a 12:12 h light/dark cycle. 110 Food, standard rodent chow (LabDiet) and water (5 min 111 daily access) were restricted in order to keep  $\sim$ 85% of 112 basal weight during behavioral training. 113

Behavioral training

### All training was conducted during the light period and based on previous protocols developed to evaluate the capability of rodents to detect the change in an instrumental contingency (Balleine and Dickinson, 1998; Bradfield et al., 2013; Corbit and Balleine, 2000; Matamales et al., 2016; Yin et al., 2005).

Continuous reinforcement. Animals were trained for 128 two sessions per day for three days. During each 129 session only one of two levers, to the left or right side of 130 the feeder, was presented. During session 1, the left 131 lever was exposed. Each lever press (action A1) 132 delivered the same reinforcer (outcome O1) throughout 133 the session (either a pellet or a drop of sucrose). On 134 session 2 the second lever was presented to the right of 135 the feeder, and each lever press (action A2) delivered 136 the other reinforcer (outcome O2). That is, animals that 137 received pellets in session 1 now received a drop of 138 sucrose and vice versa. Each session finished after 20 139 reinforcers or 30 minutes, whichever came first. The 140 relation Action1-Outcome1 (A1  $\rightarrow$  O1) and Action2-141 Outcome2 (A2  $\rightarrow$  O2) was counterbalanced for all 142 groups. 143

Random ratio training. The same animals were trained 144 in a random ratio (RR) schedule for ten days. First, 145 subjects were trained 2 days in RR5: for each session 146  $\sim$ 5 lever presses delivered an outcome. Afterward, 147 were trained in RR10 ( $\sim$ 10 subjects lever 148 press = outcome) for 2 days and finally in RR20 ( $\sim$ 20 149 lever press = outcome) for 6 days. Each session 150 finished after 20 reinforcers or 30 min (Fig. 1A). 151

Devaluation test. To test the A1  $\rightarrow$  O1 and A2  $\rightarrow$  O2 152 association in RR training, animals were allowed 153 ad libitum access to one of the two outcomes for 1.5 h. 154 This was done to reduce the value of the satiated 155 outcome in comparison to the other (Balleine and 156 Dickinson, 1998). Immediately after, animals were placed 157 in the conditioning chamber with both levers exposed, and 158 lever presses were recorded for 10 min. The next day, a 159 second devaluation test was performed using the oppo-160 site outcome. Groups were counterbalanced (Fig. 1B). 161

Contingency degradation training. Following the 162 devaluation test, animals were trained for 5 days with a 163 change in the instrumental contingency. During each 164 session only one lever was presented either at the left 165 or the right of the feeder. Session 1 was changed to 166 degrade the action-outcome relationship: free 167 reinforcers were delivered with a probability of 0.025 168 every second that the animal did not press the lever 169 H. Alatriste-León et al. / Neuroscience xxx (2019) xxx-xxx





Fig. 1. Description of the behavioral training protocol to evaluate a change in instrumental contingency in mice. (A) Upper panels, from top to bottom: training days, representation of the two sessions a day of training for animals to acquire the two actions -> two outcomes relationship. Note that in each session there is only one lever. Bottom panel, mean data of both sessions per day from nine wild type mice. (B) Upper panels, days in devaluation test, and representations of the procedure: before testing, each had a pre-feeding session on one of the reinforcers. Bottom panel, mean data from the two devaluation sessions of the same animals in A (18 sessions). (C) Upper panels, days and representations of the degradation training: one session was kept in random ratio 20 (RR20) and the other in contingency degradation (deg): [no press/s = 0.025(O1)]. Bottom panel, presses represented in rate for each session from the same animals in (A, B). (D) Upper panels, representation of the degradation test where unfed animals are exposed to the two levers. Bottom panel, data from the same animals in (A-C). \*p < 0.05, Wilcoxon (paired) and Mann Whitney U tests (bars).

(this was termed the Degraded Session). Session 2 170 maintained the same contingency of RR20 schedule 171 (termed the Non-Degraded Session). The order of the 172 sessions (either Session 1 or Session 2) and the 173 degraded outcome (either pellet or sucrose) was 174 counterbalanced across animals for each group. All 175 sessions degraded or non-degraded, finished after 20 176 outcomes or 30 min (Fig. 1C). 177

Degradation test. Finally, after degradation training, 178 we tested the relationship between the non-degraded 179 A1  $\rightarrow$  O1 and the A2  $\rightarrow$  O2 degraded conditions. To this 180 end, unfed animals were introduced to the operant 181 chamber with both levers presented. Lever presses 182 were recorded for 10 minutes without delivery of any 183 outcome (Fig. 1D). 184

### **Optogenetic manipulation of striatal CIN**

Stereotaxic surgery. To transfect inhibitory opsins, 186 ChAT-Cre mice were anesthetized with  $\sim 1.5\%$ isoflurane (in 1 liter/min oxygen) and placed in a stereotaxic apparatus. Using glass pipettes (Drummond Scientific), 500 nL (per hemisphere) of viral stock [AAV5-EF1a-DIO-eArch3.0-EYFP (Vector core. University of North Carolina, titer  $\ge 1 \times 10^{13}$  vg/mL)] was delivered bilaterally into DMS (coordinates: AP -0.1, ML  $\pm$  1.8 and DV -2.2); this region of the DMS have been previously shown to impair new goal-directed memories (Yin et al., 2005; Bradfield et al., 2013; Matamales et al., 2016). Injections were performed with a Nanoject-II (Drummond Scientific) programmed to deliver 4.6 nanoliters every 5 s (23 nL/s rate). Control animals 199

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(ChAT-Cre:eYFP) were transfected with AAV1.EF1a. 200 DIO.eYFP.WPRE [Vector core, University Pennsylvania 201 (UPENN), titer  $\geq 1 \times 10^{13}$  vg/mL]. After allowing 15 min-202 utes for diffusion, a custom-made optic fiber [length: 203  $\sim$ 9 mm, diameter: 300  $\mu$ m, NA: 0.39 (FT300EMT, Thor-204 labs) coupled to a stainless steel ferrule (SFCL340-1, 205 Thorlabs)] was implanted into each hemisphere 100 µm 206 207 above viral injection coordinates (Fig. 2C, E). Optical fibers were fixed with acrylic cement (Lang Dental Manu-208 facturing). Three weeks were allowed for viral expression 209 before optogenetic manipulation. 210

211 Optogenetic manipulation during behavior. The activity of transfected CINs was manipulated with a 212 green laser (556 nm, CNI Laser), whose beam path 213 was controlled by an acousto-optic modulator/shifter 214 (MTS110-A3-VIS, Opto-Electronic) with a risetime of 215 1 µs, driven by a Fixed Frequency Driver (AA Opto-216 Electronics) and a pulse generator (Arduino cc) 217 (Fig. 2D). Three photo-inhibition protocols were tested: 218 (1) continuous light, (2) 20 ms laser on at 10 Hz 219 (20 ms-10 Hz) and (3) 3 ms laser on at 10 Hz (3 ms-220 10 Hz) (Fig. 2E). Power intensity was calculated 221 through predicted irradiance values using available 222 data (https://web.stanford.edu/group/dlab/cgi-bin/graph/ 223 224 chart.php). For continuous inhibition, we used 15 mW while for the pulsed protocols 25 mW at the tip of the 225 fibers was used. To investigate the effect that the CIN 226 s activity manipulation has on the recognition of an 227 instrumental contingency change online, all groups 228 229 were subjected to optogenetic manipulations during the degradation sessions. A 4 cm by 4 cm region 230 centered around one of the levers was defined 231 through а camera-assisted custom movement 232 detection software (written in C#), as the inhibition 233 zone (Fig. 2D). Each time animals crossed this zone 234 the software triggered the laser delivery to the 235 implanted optic fibers. Stimulation remained on while 236 237 the animal remained in the zone and stopped as soon 238 as the animal left the inhibition zone (Fig. 2D).

Striatal CINs specificity. To resolve the specificity of 239 the transgenic ChAT-Cre line, we analyzed striatal 240 slices from a ChAT-Cre mouse crossed with an Ai35 241 mouse (ChAT-Cre:Ai35, Madisen et al., 2012) (Fig. 2A), 242 and four ChAT-Cre mice infected with eYFP (used as 243 control animals in behavioral experiments) (Fig. 2B). 244 These animals were terminally anesthetized with keta-245 mine/xylazine (85 and 15% respectively) and transcar-246 dially perfused with 0.1 M PBS followed by 4% 247 paraformaldehyde (PFA). The brains were extracted and 248 249 left in post-fix overnight. Afterward, 50 µm coronal slices 250 were obtained (vibratome; 3000 Tedpella). For immunostaining, after washing with 0.1 M PBS, sections were incu-251 bated with primary antibody overnight at room 252 (anti-ChAT 253 temperature antibody, Millipore. No. AB144P, diluted 1:1000 in 0.2% Triton X-100: 0.1 M 254 PBS). On the next day, slices were washed five times with 255 0.1 M PBS and incubated at room temperature with sec-256 ondary antibody (Alexa Fluor 594, Thermo Fisher Scien-257 tific, A-11080, diluted 1:1000) for 2 h. Sections were 258

washed five times with 0.1 M PBS and mounted. Images 259 were acquired with a confocal microscope (Zeiss LSM 710, ZEISS) and quantification of neurons was carried 261 out in the DMS ( $850 \ \mu m \times 850 \ \mu m$  regions). 262

### In vivo electrophysiology.

To investigate the effects of optogenetic manipulations on 264 CINs activity, ChAT-Cre animals (n = 2) were injected 265 unilaterally with 500nL of Arch3.0-eYFP (AAV5-EF1a-266 DIO-eArch3.0-EYFP) in the DMS and after 1 week 267 implanted with a custom made optrode. For the optrode, 268 we affixed an optic fiber with acrylic cement to a 269 movable microwire bundle (16 Channels) manufactured 270 by Innovative Neurophysiology, Durham, NC, in a way 271 that both tips pointed together; Fig. 4A. After allowing 272 3 weeks for opsin expression, data acquisition was 273 conducted in a square acrylic chamber (open field) of 274  $40 \text{ cm} \times 40 \text{ cm}$  (walls 30 cm high). Electrophysiological 275 activity was acquired at 30 kHz (CerePlex Direct, 276 Blackrock Microsystems, UT) and processed with a 277 high-pass filter (750 Hz) to extract spike activity (see 278 Fig. 4B. C). Three patterns of 556 nm light (continuous. 279 3 ms-10 Hz, and 20 ms-10 Hz pulses) were applied for 280 4 seconds (s), for at least 30 trials. We used four 281 seconds since this duration covered 96% of the entire 282 distribution of time that the animals were in the inhibition 283 zone (0.9  $\pm$  0.1 s, n = 7 animals). Units were sorted 284 online through manual thresholding and manual hoop 285 algorithms (Blackrock Microsystems, UT) and offline 286 with a principal component analysis algorithm (Offline 287 Sorter, Plexon). Data was exported to MATLAB and 288 further analyzed through custom scripts. Spike activity 289 of each unit was aligned to the onset of light delivery. 290 We applied column-wise Receiver Operating 291 Characteristic (ROC) curve analysis with a 200 ms 292 sliding window moving in 100 ms steps, unless 293 otherwise stated (baseline was from -1 to -0.8 s from 294 light onset). We chose a 200 ms window since the 295 average firing rate of medium spiny neurons is about 296 5 Hz (around 1 spike every 200 ms). The 100 ms sliding 297 window was chosen since shorter windows did not 298 improve the detection of the overall modulations. Units 299 with ROC values < 0.2 during 1 s of continuous light 300 inhibition were photo-identified as cholinergic (n = 2, at301 least 20 trials; see Fig. 4D). Latency to inhibition was 302 considered as ROC < 0.3 with a 200 ms sliding window 303 in 1 ms steps. Mean firing rate was computed by 304 averaging the number of spikes during the 4 s of light 305 delivery and the 4 s preceding it per trial; the Kruskal-306 Wallis method was used to test equality. 307

To analyze the periodicity of spike activity in the 308 frequency domain, discrete firing rate was obtained by 309 binning time and counting spikes with dt = 10 ms from 310 -4 s (basal) to 4 s from light onset (light inhibition). Fast 311 Fourier Transform was performed per trial and averaged 312 across trials (Fig. 4F). Inter-spike intervals were 313 computed for the 4s preceding and the 4s of light 314 inhibition to create the histograms in Fig. 4H. These 315 histograms were expressed as cumulative density 316 functions (CDF) and tested for equality with a 317 Kolmogorov-Smirnov test. This same procedure was 318

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**Fig. 2.** Strategy for the optogenetic manipulation of striatal cholinergic interneuron during a contingency change. (**A**) From left to right, Cholinergic neurons tagged with Alexa 594 (ChAT, Red-left), EGFP expression by Ai35 mouse (Ai35 (EGFP), Green-middle) and merge (Merge, Yellow-right). The bar represents the specificity of the transgenic line: percentage of EGFP+ or eYFP+ (from the ChAT-Cre:Ai35 crossbreed and virally transfected a ChAT-Cre: eYFP mice) that were co-labeled as ChAT+. (**B**) Photos similar to A, this time by viral transfection (AVV) of eYFP in the ChAT Cre mice. Bar represents targeting efficacy evaluated as [eYFP+ & ChAT+]/ChAT+. (**C**) Schematic representation of viral transfection of Archaerhodopsin (AAV-Arch3.0) and optic fibers implantation in dorsomedial striatum (top), and representative slice of optical fiber track in a ChAT-Cre: eYFP mouse (bottom). (**D**) Timeline of optogenetic manipulation during the contingency degradation sessions (green square indicates inhibition zone). (**E**) Location of optical fiber tracks implanted in experimental groups. (**F**) Open field tracking of general movement: horizontal displacement from ChAT-Cre animals expressing either Arch3.0-eYFP or only eYFP. No difference was detected between these groups.  $\rho > 0.05$  Mann Whitney *U* test.

applied to all non-PhotoID units recorded. For non PhotoID units, firing rate was expressed as Z-score
 (mean and sigma was calculated from the basal period).

A Wilcoxon (paired) test was performed (basal vs light inhibition periods) and those with p < 0.01 were classified as modulated.

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### 325 Statistical analyses

All statistical analyses were performed using Graph Pad 7 and MATLAB 2016. A criterion of p < 0.05 was used to significance, unless otherwise stated. Data are presented as mean  $\pm$  standard error, unless otherwise specified in the text.

### RESULTS

332 To identify whether the activity of the striatal CINs is required to encode a change in the contingency 333 between an action and its outcome, we first 334 implemented a protocol in which mice were trained to 335 acquire specific contingencies between two actions and 336 two outcomes (A1  $\rightarrow$  O1 and A2  $\rightarrow$  O2) (Balleine and 337 338 Dickinson, 1998; Bradfield et al., 2013; Matamales et al., 2016). We later manipulated the activity of the stri-339 atal CINs while one of the action  $\rightarrow$  outcome contingen-340 cies was degraded. 341

## 342 Mice identify changes in action outcome-343 contingencies

To be able to change a contingency between an action 344 and its outcome, we first needed to train animals to 345 perform goal directed actions. Training mice to press a 346 lever with a random ratio schedule induces animals to 347 press in a goal-directed manner (Balleine and 348 Dickinson, 1998; Corbit and Balleine, 2000; Hammond, 349 1980; Yin et al., 2005). Accordingly, we trained mice in 350 a two actions-two outcomes protocol that induces ani-351 mals to perform goal-directed levers pressing (Bradfield 352 et al., 2013; Matamales et al., 2016; Yin et al., 2005). 353 The training protocol consisted of exposing animals to 354 one of two levers in an operant box for two sessions each 355 356 day. During session 1, only the lever to the left (or to the right, animals were counterbalanced) of a food magazine 357 was presented. During session 2 only the second lever on 358 the opposite side of the food magazine was presented. 359 Throughout the first three days of training, a single lever 360 361 press delivered a reinforcer [a pellet in Session 1 (action one  $\rightarrow$  outcome one; A1  $\rightarrow$  O1) or a drop of sucrose in 362 session 2 (action  $2 \rightarrow$  outcome two;  $A2 \rightarrow O2$ )]. After-363 ward, the contingency to obtain a reinforcer was modified 364 to a random ratio (RR) schedule for ten days; two days in 365 RR5, two days in RR10 and six days in RR20 (pressing 366  $\sim$ 5,  $\sim$ 10 or  $\sim$ 20 times delivered a reinforcer, respectively) 367 (Fig. 1A). During the acquisition of this RR contingency, 368 369 animals increased the number of presses (mean presses in session 1 for the two outcomes =  $114 \pm 9$ , versus in 370 371 session  $10 = 378 \pm 34$ , n = 9 animals, p < 0.0001, Wil-372 coxon test; Fig. 1A bottom panel), as well as the press 373 rate for the two outcomes (presses/min session 1 = 5.9 $\pm$  0.6 versus session 10 = 25.3  $\pm$  5.2, n = 9 animals, 374 375 p < 0.0001, Wilcoxon test). Afterward, to evaluate whether the animals were pressing the levers based on 376 the outcome of the action on each lever, each animal 377 was subjected to a pair of devaluation tests. During each 378 day of the devaluation test, animals were satiated with 379 one of the two outcomes previously received (Fig. 1B) 380 and immediately placed in the operant chamber for 10 381

minutes. During this time, the animals were presented 382 with the two levers and the presses in each of the levers 383 were counted. The devaluation test is done in extinction, 384 so there is no delivery of a reinforcer. If the animals had 385 correctly distinguished the outcome of pressing each of 386 the levers, then they would perform more lever presses 387 on the lever associated with the outcome that was not 388 devalued. Accordingly, the devaluation test showed that 389 animals pressed more times the lever that delivered the 390 outcome that was not devalued [mean presses in the 391 devalued outcome =  $39 \pm 10$  versus the non-devalued 392 outcome =  $102 \pm 16$ , n = 9 animals, p < 0.005, Wil-393 coxon test (paired comparison) and Mann Whitney U test 394 (bars): Fig. 1B bottom panell. 395

The update in the performance of rodents according to 396 a change in contingencies has been previously 397 documented with action-outcome specificity (Bradfield 398 et al., 2013; Matamales et al., 2016; Yin et al., 2005). Fol-399 lowing these previous reports, and in order to internally 400 control the update of instrumental contingencies, each 401 animal from the group described in Fig. 1A, B was 402 exposed to contingency degradation training. During the 403 degradation training each animal was trained for two ses-404 sions a day. One session kept the RR20 contingency 405  $[A2 \rightarrow O2 \text{ (contingency RR20)}]$ , and in the other session 406 the contingency was degraded [(A1  $\rightarrow$  O1 (contingency 407 degraded)]. In the degradation session the probability to 408 receive a reinforcer was 0.025 every second that the ani-409 mal did not press the lever (all experiments were counter-410 balanced, see Methods). Fig. 1C shows that the press 411 rate during the session where the contingency was chan-412 ged decreased significantly as compared to the press rate 413 during the sessions with no change in contingency (press 414 rate during the last day of RR20 =  $18.4 \pm 5.5$  versus the 415 press rate in the last day of contingency degradation = 3416  $\pm$  1.3, n = 9 animals, p < 0.05, Wilcoxon test; Fig. 1C 417 bottom panel). The next day, a test in extinction was per-418 formed to evaluate whether the animals adjusted their 419 behavior to the change in contingency (Fig. 1D). This test 420 consisted of exposing the animals to the two levers with-421 out delivery of a reinforcer. Fig. 1D (bottom panel) shows 422 that mice performed fewer presses on the lever with the 423 changed (degraded) contingency as compared to the 424 presses on the lever that did not change contingency 425 (non-degraded) [mean presses, degraded =  $30 \pm 6$  ver-426 non-degraded =  $151 \pm 31$ , sus *n* = 9 animals, 427 p < 0.005, Wilcoxon test (paired comparison) and Mann 428 Whitney U test (bars)]. 429

The data presented up to here replicates the previously reported capabilities of mice to identify an action-outcome contingency change (Bradfield et al., 2013).

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# Targeting of the cholinergic striatal interneurons to express proteins of interest

In order to ask whether the striatal CINs contribute to the encoding of a change in instrumental contingency we used ChAT-Cre mice. ChAT-Cre mice express the Cre recombinase under the expression of the choline acetyltransferase promoter, a molecular marker of cholinergic neurons (Rossi et al., 2011). To evaluate the

specificity of the ChAT-Cre mouse line to target choliner-442 gic striatal neurons, we crossed these mice with a repor-443 ter mouse line that expresses EGFP in cells containing 444 Cre (Ai35 mouse line) (Madisen et al., 2012), or trans-445 fected ChAT-Cre mice with a virus to express eYFP in a 446 Cre-dependent manner. Slices were immune-stained for 447 positive ChAT expression. The guantification of EGFP+ 448 or eYFP & ChAT+ cell bodies in randomly selected Z-449 stacks of the DMS from one ChAT-Cre:Ai35 mouse and 450 four ChAT-Cre:eYFP mice (covering coordinates: AP: 451 -0.1 to 0.15, one 850-µm quadrant per slices) showed 452 that the specificity to target cholinergic striatal interneu-453 rons (defined as a EGFP+ & ChAT+/EGFP+ cells) 454 455 was 96  $\pm$  2% (*n* = 1 mouse ChAT-Cre:Ai35 and 4 mice ChAT-Cre:eYFP, 5 slices from the ChAT-Cre:Ai35, 7 456 slices from the ChAT-Cre:eYFP, Fig. 2A). This verification 457 replicated previous reports (English et al., 2011; Maurice 458 et al., 2015; Zucca et al., 2018), and allowed us to use the 459 ChAT-Cre mice to virally express proteins of interest in 460 striatal CINs in the DMS. To evaluate the transfection rate 461 in the center of the viral infections, we quantified the eYFP 462 + & ChAT + /ChAT + cells, observing an average of 73 463  $\pm$  8% of cells labeled (*n* = 4 mice; seven slices, Fig. 2B). 464

# 465 Optogenetic manipulations of striatal CINs impaired 466 the identification of an instrumental contingency 467 change

Once we evaluated the specificity of the transgenic 468 mouse line, a group of ChAT-Cre mice was bilaterally 469 injected with an adeno associated virus (AAV) to 470 express Archeorhodopsin (Arch3.0), an opsin that when 471 illuminated with green light hyperpolarizes neurons 472 (Chow et al., 2010; Mattis et al., 2011), and implanted 473 optic fibers 100  $\mu m$  above the injections as described in 474 the methods (Fig. 2C). Allowing six days for recovery, 475 we trained these animals as previously described in 476 Fig. 1. The hypothesis to test in this group of animals 477 478 was that the activity of the cholinergic neurons was 479 required for the proper identification of a change in an 480 action-outcome contingency. We therefore adapted a video tracking system to the operant box and set up the 481 condition that during the sessions of the contingency 482 degradation, every time that animals were in the zone of 483 the action (in front of the lever: inhibition zone) they 484 received green light illumination into the DMS through 485 the implanted optic fibers to manipulate CINs (Fig. 2D, 486 see Methods). The positions of the fibers' tips from all 487 groups of animals were verified to be in the DMS 488 (Fig. 2E). Like wild-type mice (Fig. 1) implanted ChAT-489 Cre animals expressing Arch3.0-eYFP also increased 490 their lever pressing, throughout the acquisition of the 491 492 action  $\rightarrow$  outcome contingencies (Fig. 3A, left panel; mean presses in session 1 for the two outcomes = 72493  $\pm$  10 versus, in session 10 = 344  $\pm$  35, n = 6 animals, 494 p < 0.001, Wilcoxon test). They were also sensitive to 495 the devaluation test [Fig. 3A, second column from left to 496 right, devaluation test; mean presses in the devalued out-497  $come = 19 \pm 5$ versus the non-devalued out-498 499 come = 116  $\pm$  26, n = 6 animals, p < 0.005, Wilcoxon

test (paired comparison) and Mann Whitney U test 500 (bars)]. Consistent with our hypothesis, inhibition of 501 ChAT-Cre:Arch3.0-eYFP cells in the DMS was sufficient 502 to impair mice from adjusting to the change in contingency 503 as reported by the degradation test (mean presses on the 504 lever of contingency degradation  $Arch_{3,0}$  continuous = 96 505 ± 39 versus presses on the lever associated with RR20 506 (non-degraded) Arch<sub>3.0</sub> continuous =  $137 \pm 32$ , n = 5 ani-507 mals, p > 0.2, Wilcoxon test (paired) and Mann Whitney 508 U test (bars), Fig. 3A, right column, degradation test). 509

Nevertheless, we were concerned about confounding 510 factors such as the possibility that the striatal cholinergic 511 cells could present rebound firing activity finishing the 512 optogenetic inhibition (Atallah et al., 2014; Zucca et al., 513 2018, English et al., 2011), or that the duration of illumina-514 tion (mean time per bout of presses =  $0.9 \pm 0.1$  s) could 515 generate a lesion (Gao et al., 2018; Stujenske et al., 516 2015). Therefore, two different cohorts of ChAT-Cre: 517 Arch3.0-eYFP animals were evaluated, this time receiving 518 brief pulses of light at 10 Hz; one group received 10 Hz 519 stimulation with a pulse size of 20 ms, (Fig. 3B) and the 520 second group 10 Hz with 3 ms of pulse size (Fig. 3C). 521 10 Hz was chosen as we thought it is within a range to 522 avoid the putative 200 ms pause in activity of CINs, which 523 has been suggested to be key for the contribution of CINs 524 to the update in contingencies (Kim et al., 2019; Goldberg 525 and Reynolds, 2011; Aosaki et al., 1994); 3 and 20 ms 526 were chosen as the former was on the lower and the latter 527 on the highest probability to cause inhibition according to 528 the inactivation parameters of Arch (Mattis et al., 2011). 529 Remarkably, only the group that received 20 ms-10 Hz 530 pulses of light showed an impairment in the identification 531 of the change in contingency (mean presses, contingency 532 degraded Arch3.0 20ms-10Hz = 171 ± 44 versus contin-533 gency non-degraded Arch<sub>3.0 20ms-10Hz</sub> = 190  $\pm$  63, n = 8 534 animals, p > 0.5, Wilcoxon test (paired) and Mann Whit-535 ney U test (bars), Fig. 3B, right panel, degradation test), 536 an effect that was not observed either in Ach3.0-eYFP 537 animals receiving 3 ms-10 Hz pulses (mean presses, 538 contingency degraded  $_{Arch3.0 \ 3ms-10Hz} = 65 \pm 15 \ versus$ 539 contingency non-degraded  $_{Arch3.0 \ 3ms-10Hz} = 238 \pm 48$ , 540 n = 7 animals, p < 0.05, Wilcoxon test (paired) and 541 Mann Whitney U test (bars), Fig. 3C, right panel, degrada-542 tion test), or in control ChAT-Cre animals expressing only 543 eYFP subjected to 20 ms-10 Hz pulses of light (mean 544 presses, contingency degraded  $_{eYFP}$   $_{20ms-10Hz} = 60$ 545 ± 21 versus contingency non-degraded eYFP 20ms-546  $_{10Hz} = 275 \pm 76$ , n = 8 animals, p < 0.05, Wilcoxon test 547 (paired) and Mann Whitney U test (bars), Fig. 3D, right 548 panel. degradation test). No difference in the identification 549 of the contingency change between the animals express-550 ing Arch-eYFP subjected to the 3 ms-10 Hz protocol and 551 the ones expressing eYFP was detected (mean presses 552 in degradation test, contingency degraded Arch 3ms-553  $_{10Hz}$  = 65 ± 15 versus contingency degraded <sub>eYFP 20ms-</sub> 554  $_{10Hz}$  = 60 ± 21 and contingency non-degraded Arch 3ms-555  $_{10Hz}$  = 238 ± 48 versus contingency non-degraded <sub>eYFP</sub> 556  $_{20ms-10Hz} = 275 \pm 76$ ; n = 7 and 8 animals for each 557 group respectively, p > 0.5, Mann Whitney U test). 558

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Fig. 3. Optogenetic perturbation of striatal cholinergic interneurons impairs the detection of a contingency change. (A-C) From left to right, similar training as described in Fig. 1 for three cohorts of ChAT-Cre: Arch3.0-eYFP animals in which different protocols of light inhibition were tested during the session of contingency change (degraded). Continuous light (A) and pulsed protocols (B, C). Note that continuous and pulsed 20 ms at 10 Hz impaired the identification of the contingency change as reported by the equal number of presses on each lever during the degradation test. (D) Similar to B in a control group of ChAT-Cre animals expressing only eYFP. Not significant (ns) in A, B, p > 0.05 for both, Wilcoxon (paired) and Mann Whitney U tests (bars). A-D \* p < 0.05, for Wilcoxon (paired) and Mann Whitney U tests (bars).

#### Green light illumination inhibits/perturbs activity of 559 striatal CINs expressing Arch3.0 560

Given that different patterns of green light on the DMS-561 562 CINs impaired the identification of the instrumental contingency change, we sought to evaluate the effects 563 of these manipulations on the activity of these neurons 564 in vivo. In order to do so, two ChAT-Cre mice were 565 virally transfected to express Arch3.0 and implanted 566 with a movable optrode (Atallah et al., 2014; Tecuapetla 567 et al., 2014; see Methods) (Fig. 4A). After recording 10 568

sessions (5 different positions per animal), two striatal 569 units (1 in each animal) were identified as ChAT-Cre-Arch3.0+ (see Methods) and were recorded under the different protocols of green light illumination. Fig. 4B, C show the electrophysiological properties of all recorded units and the raw data from one of the photo identified CINs, respectively. Interestingly the parameter that seems to distinguish the CINs from the rest of striatal neurons is their coefficient of variation (Fig. 4B; Atallah et al., 2014: Stalnaker et al., 2016; Zucca et al., 2018). Fig. 4D shows the raster plots and peri-stimulus histograms of the 579

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580 spike activity from one of these units that was exposed to the three light protocols. During continuous light, the cells 581 presented a sustained inhibition (latency to inhibition 582 <8 ms; ROC <0.3 for the length duration of the continu-583 ous light) (Fig. 4D left panels). However, with the 20 ms-584 10 Hz protocol, the cells were inhibited only at the begin-585 ning of the stimulation (ROC < 0.3 during 370 ms; 586 Fig. 4D: middle panels) and the 3 ms-10 Hz protocol 587 showed no change in the ROC curve (Fig. 4D: right 588 panel). Importantly, when comparing the mean firing rate 589 during each light manipulation trial for the two units iden-590 tified as ChAT-Cre-Arch3.0+, we observed an inhibition 591 only for the continuous light exposure (mean rate was 592 593 reduced from 5.6  $\pm$  1.7 Hz to 1.3  $\pm$  1.5 Hz.  $\rho$  < 0.001. Wilcoxon test, Fig. 4E: left panel; mean rate basal<sub>20ms</sub> 594  $_{10Hz} = 5.4 \pm 1.3 \text{ Hz}$  vs. light $_{20ms-10Hz} = 5.8 \pm 1.2 \text{ Hz};$ 595 mean rate  $basal_{3ms-10Hz} = 5.7 \pm 1.5 \text{ Hz}$  vs.  $light_{3ms-10Hz}$ 596  $_{10Hz} = 5.4 \pm 1.4$  Hz, p > 0.05, Wilcoxon test, Fig. 4E: 597 middle and right panels respectively). However, a closer 598 look into the peri-event histogram, the 20 ms-10 Hz pro-599 tocol seems to establish a firing pattern. Hence, we exam-600 ined the periodicity of the firing rate through a Fourier 601 decomposition of the spike activity during light exposure 602 (Fig. 4G). This analysis revealed that both the 3 ms and 603 the 20 ms 10 Hz protocols significantly favored a periodic 604 605 firing pattern, with the 20 ms-10 Hz protocol revealing a 606 stronger change in power at 10 Hz (comparison of the 607 data per trial versus the baseline with no light, and comparison of trials between pulsed protocols. p < 0.001: 608 Wilcoxon test, Fig. 4G). Furthermore, analyses of inter-609 spike-interval (ISI) histograms from the baseline versus 610 the activity during the light exposure found differences in 611 the continuous and the 20 ms-10 Hz protocols with 612 respect to basal activity (p < 0.001, Kolmogorov-613 Smirnov test; Fig. 4H, insets). Thus, we identified that 614 continuous and 20 ms-10 Hz illuminations of striatal 615 cholinergic cells in vivo significantly perturb the firing pat-616 tern as compared to basal activity. Importantly the stron-617 gest manipulation (continuous illumination) did not affect 618 619 general movement (comparison of 15 s, 5 s before, during and after light, moving window of one-second ChAT-Cre: 620

Arch-eYFP vs. ChaT-Cre:eYFP, n = 7 animals, p > 0.05, Mann Whitney U test, Fig. 2F).

In conclusion, the data presented from the optogenetic manipulations and the electrophysiological recordings *in vivo* support the idea that besides the presence of the CINs, an alteration in their pattern of activity, during the encoding of the instrumental contingency, impairs the proper identification of the change.

### DISCUSSION

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Although CINs are a crucial component of the DMS and 631 their presence and activity have been implicated in 632 encoding changes of previously learned contingencies, 633 to date no study has attempted to optogenetically 634 manipulate their activity during a contingency change 635 and evaluate its effects. In this study, we endeavored to 636 undertake this challenge and documented that 637 manipulations that inhibit or perturb the activity of DMS-638 CINs impair the identification of a contingency change. 639

### Counterbalancing of outcomes

In order to evaluate a contingency change, we based our 641 study on previously developed protocols (Balleine and 642 Dickinson, 1998; Bradfield et al., 2013; Corbit and 643 Balleine, 2000; Matamales et al., 2016; Yin et al., 2005). 644 However, some differences should be noted. The estab-645 lishment of the two action-two outcomes training protocol 646 in this study has been shown to work in rats using pellets 647 and sucrose as reinforcers (Bradfield et al., 2013; Yin 648 et al., 2005). Nonetheless, during our first attempts to 649 set up the training protocol, when using pellets versus 650 10% sucrose, once animals were trained in RR schedule 651 their performance for sucrose decreased over days (data 652 not shown). It was only when we used pellets versus 20% 653 sucrose that animals engaged in the two sessions each 654 day of training. We assume that increasing the caloric 655 content in sucrose made the animals work for the two out-656 comes (Reilly, 1999). Importantly, all treatments were 657 counterbalanced: for half of the animals in each group 658

Fig. 4. Different optogenetic illumination protocols inhibit or perturb striatal cholinergic interneuron activity in vivo in an open field. (A) Schematic of optrode; electrode array with optical fiber (left panel): Photomicrograph, coronal striatal section of a ChAT-Cre animal transfected to express Arch3.0-eYFP and implanted with an optrode (right panel). (B) Conventional 3D plotting of electrophysiological properties depicting peak to valley latencies, firing rate, and the coefficient of variation of inter-spike intervals (ISI-CV) as a method to classify striatal waveforms. Note that both Photo Identified (Photo-ID) ChAT-Cre Arch + units (the two green circles come from each of the Photo-ID cells, one per animal recorded) lie in a similar place in this space. Scale of waveform insets: 100 microV, 100 micros. (C) Raw data of a Photo Identified unit. Upper Left shows the raw waveforms in a channel containing a Photo Identified unit. Upper Right, the first two principal components of the waveforms are enough to separate the Photo Identified unit from the noise cluster (p < 0.001, multivariate ANOVA). Lower: Waveforms are presented during a segment of time, showing that light illumination (red lines) inhibit firing of the unit. (D) Upper panels: raster plot of spike activity from a photo-identified striatal cholinergic interneuron aligned to light onset across different trials for the three light patterns tested: continuous, 20 ms at 10 Hz, and 3 ms at 10 Hz, respectively. Middle panels: peri-event histograms of the raster plots (bin = 25 ms). Bottom panels: ROC analysis for each light inhibition pattern. Red arrows show end of ROC significance for rebound bursting. (E) Mean firing rate during the 4 s of light stimulation and the 4 s preceding it (basal), per trial per unit recorded (n = 2 neurons) for each of the light protocols tested (\*p < 0.001, Wilcoxon (paired) test). An additional bar is presented for units with rebound activity; mean firing rate from start of light inhibition until red arrow in panel D Lower (light inhibition + rebound) is reported. (F) Fourier analysis of spike trains pipeline. The spikes of one trial are transformed to a continuous signal through binning (dt = 10 ms). Fourier Transform is performed on the segment during light stimulation. This is performed for each trial and averaged. Means are presented in G. (G) Average frequency domain analysis of the spike trains during each light protocol and basal activity. (E) Inter-spike Interval histogram (ISI) for spike activity during each light stimulation protocol and basal activity (inset: cumulative density function CDF). Upper insets in Middle and Left are rasters showing spike activity aligned to the start of each illumination pulse (20 ms or 3 ms).

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659 the Outcome 1 was pellets, and for the other half, it was 20% sucrose. This becomes relevant when the contin-660 gency change was carried out, degrading the instrumental 661 contingency in half of the animals for sucrose and the 662 other for pellets. This design grants an internal control 663 and strengthens its validity since, despite being different 664 outcomes (sucrose or pellets), when degraded the ani-665 mals decreased pressing for each outcome (Fig. 1C. D 666 and Fig. 3A–D degradation training and degradation test). 667

# The perturbation of the activity of striatal CINs impairs the encoding of the update in the action outcome contingency

The specificity of the ChAT-Cre mice used in this study has been previously documented (Matamales et al., 2016; Maurice et al., 2015; Zucca et al., 2018) and confirmed to be above 95% for the specificity of the Cre line and above 85% for the viral expression of Arch3.0 in the DMS CINs (Fig. 2).

Delivery of continuous green light to DMS CINs 677 inhibited their spiking activity during the degradation 678 training, and impaired the identification of the change in 679 contingency as reported by lack of distinction in the 680 presses during the degradation test (Fig. 3A, right 681 column). However, despite the in vivo recordings, we 682 were concerned that the amount of continuous light that 683 684 animals received during the contingency degradation 685 training might be harmful to the cells across days. In order to alleviate this concern and based on a strategy 686 to briefly activate Arch3.0 and decrease the amount of 687 light exposure, just enough to alter to the spiking of 688 CINs (Znamenskiy and Zador, 2013), we tested two 689 pulsed protocols at 10 Hz variating the size of the light 690 pulse (3 or 20 ms light pulses), during the change in con-691 tingency. 10 Hz was chosen as we thought it would impair 692 693 the pause of 200 ms of CINs which has been suggested to be key of CINs during contingency updates (Kim 694 et al., 2019; Goldberg and Reynolds, 2011; Aosaki 695 et al., 1994). Note that we delivered the light patterned 696 protocols through a laser beam controlled using an 697 AOM, which allows microsecond modulations of the pat-698 tern of light (see Methods). From these two protocols of 699 pulsed light, only the 20 ms pulses at 10 Hz but not the 700 3 ms pulses at 10 Hz impaired the identification of the 701 change in contingency (Fig. 3B vs. 3C). Remarkably, 702 when we recorded the activity of photo-identified CINs 703 in vivo, both protocols favored a periodicity in their firing 704 pattern at 10 Hz (Fig. 4G). Yet, the magnitude of power 705 change induced by the 20 ms 10 Hz protocol as com-706 pared to the baseline-spiking pattern was higher 707 708 (Fig. 4G). This increased periodicity of firing pattern signif-709 icantly altered the overall firing pattern only in the 20 ms 10 Hz protocol, as evidenced by its effect in the inter-710 711 spike interval (ISI) histograms (Fig. 4H). A lack of a signif-712 icant effect of the 3 ms 10 Hz inhibition in the ISI seems to 713 be a consequence of weaker displacement in the ISI following the light inhibition (inserts above the CDF plots in 714 Fig. 4H). Importantly, the additional control of testing a 715 group of CINs-eYFP under the 20 ms-10 Hz protocol 716 did not attenuate the identification of the contingency 717 (Fig. 3D). It must be noted that the recordings presented 718

here were performed outside of the behavioral task and 719 attempt to provide a guide for what could happen in the 720 manipulations during the behavioral paradigm. 721

# Why does the time of inhibition was chosen around the performance of the action?

During the training of animals in a random ratio schedule 724 (as we did here), which induces goal directed actions, the 725 striatal activity around the performance of the action has 726 its highest modulation around this moment (Gremel and 727 Costa, 2013), perhaps because the action leads the con-728 sequence. Therefore we hypothesized that the modula-729 tion of CINs would be more important around the lever 730 press to update actions in response to changes in contin-731



**Fig. 5.** Modulation of surrounding units due to stimulation of ChAT-Cre Arch+ striatal cells. (**A**) Top, heatmap showing Z-score of average firing rate before, during and after light inhibition as specified by dashed lines. Left, continuous light inhibition. Right, 20 ms at 10 Hz light inhibition. Bottom, average Z-score of all units (population), and average Z-score of modulated units 4 s during light inhibition vs 4 s before (basal) (p < 0.01, Wilcoxon (paired) test). (**B**) Example of modulated units for each light inhibition protocol. (**C**) Proportion of units modulated for each light inhibition protocol. (**D**) Average frequency domain analysis (as explained in Fig. 4F) of the spike activity of all units during each light protocol.

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gencies. An additional thought to present the inhibition 732 around the performance of the action is based on the fact 733 that the activity modulation of CINs, in classical condition-734 ing late in training, has been documented in response to a 735 stimulus that predicts a reward (Ravel et al., 2003; 736 Apicella et al., 1997). We hypothesized that in instrumen-737 tal conditioning, as we used here, the CINs modulation 738 739 may happen during the action that precedes the consequence. Finally given the mechanisms through which 740 the Acetylcholine of CINs may act (muscarinic receptors, 741 slower than ionotropic mechanism) we also hypothesized 742 that the modulation of CINs could be more relevant time 743 before the performance of the action. 744

745 Nonetheless and despite that our recording of the CINs and surrounding striatal cells during the 746 optogenetic inhibitions returned very quickly to baseline 747 we could not rule out that our inhibitions of CINs may 748 have had an impact at the moment of the consequence, 749 therefore further investigations should explore if the 750 inhibition of CINs during the consequence of the action 751 may also impair the update of action to changes in 752 instrumental contingencies. 753

### Was the pulsed inhibition of CINs what impaired the 754 update of action to the change in contingency or the 755 transient inhibition at the beginning (<200 ms) or the 756 rebound at the end of the inhibition? 757

Since it has been documented that the minimum time of 758 light inhibition of CINs to modulate the spiking of striatal 759 neurons is around 400-500 ms (Zucca et al., 2018, 760 761 English et al., 2011; Witten et al., 2010), and in our analyses of the modulation of the surrounding striatal cells we 762 also observed modulation of neurons as fast as in 200 ms 763 (Fig. 5) we could not rule out the possibility that it is the 764 765 small transient inhibition at the beginning of the 20 ms-766 10 Hz pulsed protocol what impairs the update of the action to the change in contingency, nevertheless it must 767 be noted that animals toke  $\approx 0.6$  s from the moment that 768 the inhibition was switched on to the moment they 769 pressed the lever, meaning that by the time the animals 770 771 were performing the action the CINs were already under pulsed inhibition. 772

Regarding the rebound, as expected a minor rebound 773 in the pulsed protocol was observed as compared to the 774 rebound in the continuous inhibition (red arrows panel 775 D, Fig. 4), interestingly no effects was observed on the 776 surrounding striatal cells during the moment of rebound 777 in the pulsed protocol. Nevertheless an effect of the 778 rebound on variables that are not reported by the 779 spiking of surrounding cells could not be discharged 780 781 (Mohebi et al., 2019). Therefore, and considering that 782 our recordings were performed out of the behavioral 783 task, our recordings suggest that the pulsed protocol 784 would not allow the proper spiking of CINs, which in turn 785 would not allow its proper modulation in the spiking of 786 surrounding striatal cells.

In conclusion, the data from the optogenetic 787 manipulations and the electrophysiological recordings 788 from the photo-identified CINs support a model in which 789 the presence of the striatal cholinergic cells in the DMS, 790 and their activity during a change in an instrumental 791

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contingency. is essential for the appropriate 792 identification of a contingency change. 793

### How can the DMS-CINs contribute to the appropriate identification of an instrumental contingency change?

The activity of CINs in the DMS has been suggested to be 797 preferentially modulated by their inputs from the thalamus 798 (Brandfield, 2003; Ding et al., 2010). The mechanism by 799 which the striatal CINs may act on the update of behavior 800 in response to an instrumental contingency change has 801 been suggested to be preferentially through the control 802 of the activity of the striatal projection cells and/or the 803 modulation of synaptic striatal inputs (Bonsi et al., 2008; 804 Ding et al., 2010; Threlfell et al., 2012; Zucca et al., 2018). 805

One general idea is that either the activation (through 806 direct modulation of muscarinic receptors or feedforward 807 inhibition; Ding et al., 2010; English et al 2011) or the inhi-808 bition of CINs induces the inhibition of the striatal projec-809 tion cells (Zucca et al 2018), although depolarizing actions 810 of striatal Ach have been documented (Bonsi et al., 2008; 811 English et al., 2014: Galarraga et al., 1999). For the 812 mechanism of inhibition, studies in brain slices have sug-813 gested that an increase in the activity of CINs, mediated 814 by a thalamic depolarization, results in a temporal window 815 in which the striatal projection neurons from the indirect 816 pathway (SPNi) are preferably depolarized by coordi-817 nated cortical inputs arriving at the same time (Ding 818 et al., 2010). The depolarization of the SPNi in the DMS 819 movements and suppresses evokes avoidance 820 responses (Kravitz et al., 2010; Kravitz et al., 2012), the 821 inhibition of SPNi is expected to favor movements, and 822 be reinforcing, which if happening in our experiments 823 may interfere with the identification of the change in con-824 tingency. To evaluate this possibility, we measured the 825 general locomotion of animals while manipulating the 826 activity of CINs in an open field arena (Fig. 2F). This mea-827 surement suggested that the manipulation of striatal CINs 828 did not generate a change in general movements, consis-829 tent with previous reports (Maurice et al., 2015); also 830 comparing the press rate during the first day of degrada-831 tion did not show differences between the inhibited ani-832 mals vs. their controls. In a second attempt to document 833 the modulation of striatal cells by CINs activity, in our 834 experiments, we estimated the firing rate of surrounding 835 cells. A total of 63 striatal units were recorded, however, 836 only 26 units crossed the criteria of being close to the 837 photo ID CINs (either recorded on the same session or 838 sessions 50 µm apart, Fig. 5). This analysis revealed that 839 30% and 13% were significantly (negatively) modulated 840 during the continuous and the 20 ms at 10 Hz protocols, 841 respectively (Fig. 5A-C). 842 843

Although it should be highlighted that this last data was not acquired during the behavioral training (it was acquired in an open field), it argues in favor of an online modulation of CINs on the striatal microcircuits, perhaps through the activation of metabotropic receptors (Zucca et al., 2018). However, the offline or long-term modulation of synaptic inputs cannot be disproved (Bonsi et al., 2008; Ding et al., 2010; Threlfell et al., 2012). Finally, the possibility that the increase in periodicity observed in the photo-

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identified CINs could emerge from light artifacts is ruled
out since no similar modulation in periodicity of the surrounding striatal cells was observed (Fig. 5D).

In summary, as a mechanism of action, the 855 perturbation in the spiking activity of CINs impairs the 856 update of actions to a change in contingency, since we 857 did not detect changes in the general locomotion of 858 animals by the inhibition/perturbations of CINs. The 859 observation of online modulation of spiking in the 860 surrounding striatal units from our data, support a model 861 in which the striatal CINs exert an online effect on 862 striatal circuits, which allow the proper identification of 863 an instrumental contingency change. 864

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DECLARATION OF INTEREST

888 None.

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